



#6 20761  
04-13-01 2161  
8/6  
DOCKET NO. 3524/4

RECEIVED

APR 12 2001

Technology Center 2000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Kevin Foley et al.  
Serial No. : 09/412,408 Group Art Unit: 2761  
Filed : October 5, 1999 Examiner: To be assigned  
Title : ELECTRONIC TRADING SYSTEM SUPPORTING  
ANONYMOUS NEGOTIATING AND INDICATORS OF  
INTEREST

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants respectfully submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. An Information Disclosure Citation List and a copy of each document listed therein are enclosed.

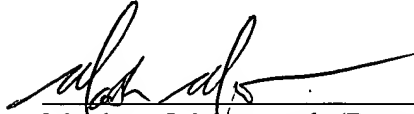
Each item of information contained in this information disclosure statement was cited in an International Search report (copy enclosed) in the applicant's counterpart International application, serial no PCT/US00/26866 dated 03 January 2001.

The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document.

Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Respectfully submitted,

Dated: April 5, 2001



Matthew J. Marquardt (Reg. No. 40,997)

Attorney for Applicants

BROWN RAYSMAN MILLSTEIN FELDER &  
STEINER LLP

120 West 45th Street

New York, New York 10036

(212) 944-1515

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as First Class Mail addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

  
Name

5 APRIL 2001  
Date